



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Lian et al.

Serial No.: 09/751,551

Filed: December 28, 2000

For: MULTI LAYER PT ELECTRODE FOR
DRAM AND FRAM WITH HIGH K
DIELECTRIC MATERIALS

Atty Dkt. No.: 00 P 9119 US

Date: March 30, 2001

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Certificate of Mailing Pursuant to 37 CFR §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to:

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

On: March 30, 2001

Signed: Stephanie Graham

Stephanie Graham

Assistant Commissioner for Patents
Washington, DC 20231
Attn: BOX MISSING PARTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION --
FILING DATE GRANTED**

Sir:

This is a response to the Notice To File Missing Parts Of Application Filing Date Granted, dated February 20, 2001, and for which a period for response of two (2) months from the date of said Notice is set to expire April 20, 2001. Enclosed herewith are:

- (1) A copy of said Notice; and
- (2) Executed Declarations (3): Jingyu Lian; Chenting Lin; Nicholas Nagel; Michael Wise.

The Commissioner is hereby authorized to charge Deposit Account No. 19-2179 for a surcharge of \$130.00 in accordance with 37 C.F.R. 1.16(e). Issuance of a formal Filing Receipt is respectfully solicited.

Respectfully submitted,

Stanton C. Braden, Esq.
Reg. No. 32,556

Infineon Technologies North America Corp.
c/o Siemens Corporation
Intellectual Property Department
186 Wood Avenue South
Iselin, NJ 08830
(732) 321-3150
SCB:sg

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,551	12/28/2000	Jingyu Lian	00P9119 US

CONFIRMATION NO. 9195

FORMALITIES LETTER



OC000000005776573

Infineon Technologies North America Corp.
c/o Siemens Corporation
Intellectual Property Department
186 Wood Avenue South
Iselin, NJ 08830

Date Mailed: 02/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at

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least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE